

# FAN Mail<sup>®</sup>

**Social Codes  
Quick Reference Guide**  
2009

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# FAN Mail Social Codes

## General Social Codes

Social codes may vary by management company; however, a list of commonly accepted FAN Mail social codes is listed. If you require additional information regarding the use of a specific social code, contact your management company representative.

<b>Social Code</b>	<b>Description</b>	<b>Qualified/ Non-Qualified</b>
005	Individual - Male, Sole Proprietorship Owned by a Man	Non-Qualified
006	Individual - Male or Female (Gender Neutral)	Non-Qualified
009	Brokerage - House Accounts	Non-Qualified
010	Individual - Female, Sole Proprietorship Owned by a Woman	Non-Qualified
011	DO NOT USE	Non-Qualified
015	Joint Tenants, Tenants in Common, Tenants by Entirety, Community	Non-Qualified
020	Custodian - Uniform Gift to Minors Act	Non-Qualified
021	Custodian Other - Guardian, Committee Conservator	Non-Qualified
024	Transfer on Death	Non-Qualified
025	Custodian - Bank/Trust Company	Non-Qualified
030	Estates - Executors, Administrators	Non-Qualified
038	Limited Liability Company (LLC)	Non-Qualified
040	Corporate Accounts - Incorporated, Company, Association, Foundation	Non-Qualified
041	Partnership	Non-Qualified
042	Nominee - "Committee to Elect"	Non-Qualified
043	Financial Organizations - Bank and Trust Companies	Non-Qualified
044	Insurance Companies	Non-Qualified
045	Other Financial Organization - Savings and Loan, Credit Union	Non-Qualified
046	Hospitals, Medical Institutions, Rest Homes, Nursing Homes	Non-Qualified
047	Funeral Homes, Cemeteries	Non-Qualified
048	Unions	Non-Qualified
049	Other Tax-Exempt Organizations	Non-Qualified
050	Pension Plans	Non-Qualified
051	Thrift Plans (Salary Savings)	Non-Qualified
052	Retirement Plans - Unclassified	Non-Qualified
053	Miscellaneous Self-Directed IRA	Non-Qualified
054	Broker Self-Directed IRA	Non-Qualified
056	401(k) (Non-IFTC Custodian)	Non-Qualified
059	Broker/Dealer Custodian	Non-Qualified
060	Charitable and Welfare Organizations, Foundations	Non-Qualified

**Social  
Code****Description****Qualified  
Non-Qualified**

061	Churches and Religious Institutions	Non-Qualified
062	Colleges and Universities	Non-Qualified
063	Clubs and Fraternal Organizations	Non-Qualified
064	Investment Clubs	Non-Qualified
065	Townships, Cities, and Counties	Non-Qualified
066	School Districts	Non-Qualified
070	Trust - Individual and Corporate Trusts Under Agreement or Will	Non-Qualified
071	Trust - Charitable Annuity Trust	Non-Qualified
072	Trust - Charitable Unit Trust	Non-Qualified
073	Trust - Revisionary Trust	Non-Qualified
074	Private Annuity	Non-Qualified
075	Installment Sales	Non-Qualified
076	Deferred Compensation Plans	Non-Qualified
077	Payroll Deduction Plans	Non-Qualified
080	Foreign Banks or Brokers	Non-Qualified
090	Networked Accounts	Non-Qualified
091	Corporate Officers	Non-Qualified
092	Corporate Directors	Non-Qualified
093	Corporate Employees - NAV	Non-Qualified
094	Group Account (Spec Div. Reporting)	Non-Qualified
096	Low Load Account	Non-Qualified
101	Keogh Master	Qualified
102	Keogh Employee (Employer Contribution) - Participant	Qualified
103	Keogh Voluntary Contribution	Qualified
104	Keogh Master - Custodian	Qualified
105	Keogh Employer - Custodian	Qualified
106	Keogh Voluntary - Custodian	Qualified
201	Profit Sharing - Master	Qualified
202	Profit Sharing - Employee	Qualified
203	Profit Sharing - Voluntary Contributions	Qualified
238	Limited Liability Company (LLC)	Non-Qualified
240	Corporate	Non-Qualified
244	Corporate Account - S Corp	Non-Qualified
246	Personal Trust	Non-Qualified
250	Custodial REIT - Individual	Non-Qualified
251	Custodial REIT - Joint	Non-Qualified
252	Custodial REIT - Corporation	Non-Qualified
253	Custodial REIT - IRA	Non-Qualified
254	Custodial REIT - Trust	Non-Qualified
255	Custodial REIT - UGMA	Non-Qualified
256	Custodial REIT - Profit Sharing	Non-Qualified
257	Custodial REIT - Keogh	Non-Qualified
258	Custodial REIT - 401(k)	Non-Qualified

<b>Social Code</b>	<b>Description</b>	<b>Qualified Non-Qualified</b>
259	Custodial REIT - Pension Plans	Non-Qualified
344	REIT - Corporate Account - S Corp	Non-Qualified
348	REIT - Non-Taxable Trust	Non-Qualified
701	IRA - Individually Established	Qualified
702	IRA - Employer Established (Simplified Employee Pension Plan)	Qualified
703	IRA - Rollover from Non-Qualified Plan	Qualified
704	IRA - Spousal Accounts	Qualified
706	IRA - Minor Account	Qualified
707	IRA - SAR/SEP	Qualified
710	IRA - Beneficiary by Death	Qualified
711	IRA - Individually Established Split Funded	Qualified
712	IRA - Employer Established Split Funded	Qualified
713	IRA - Rollover Split Funded	Qualified
714	IRA - Trade Union Split Funded	Qualified
728	401(k)	Qualified
731	457 Plans	Qualified
733	403(b) - Beneficiary by Death	Qualified
734	Qualified Plan - Beneficiary by Death	Qualified
736	Roth - 403(b)	Qualified
750	TSA - 403(B)	Qualified
751	Roth (403b) - Beneficiary by Death	Qualified
755	529 Plan - Individual Owner	Qualified
756	529 Plan - Converted from UGMA/UTMA	Qualified
757	529 Plan - Minor Account Owner	Qualified
758	Roth IRA - Beneficiary by Death	Qualified
759	Education IRA - Beneficiary by Death	Qualified
761	Simple IRA - Employer (ER) Master Plan	Qualified
762	Simple IRA - Employee (EE) Contribution Plan	Qualified
763	Simple IRA - Transfer	Qualified
770	Simple IRA - Beneficiary by Death	Qualified
771	403(b) - Individually Established	Qualified
772	403(b) - Group	Qualified
773	Salary Reduction Contribution	Qualified
791	Roth IRA	Qualified
792	Roth IRA Converted from a Traditional IRA	Qualified
793	Educational IRA	Qualified
794	Roth IRA - Minor	Qualified
798	Roth IRA - Combined	Qualified
900	Special Account - Master Account	Qualified
901	Special Account - Model Account	Qualified
902	Special Account - Employer Contribution	Qualified
903	Special Account - Voluntary Contribution	Qualified
911	Special Account - Keogh Master	Qualified

**Social Code****Description****Qualified Non-Qualified**

912	Special Account - Keogh Employer	Qualified
913	Special Account - Keogh Voluntary (Employee)	Qualified
964	IRA - Rollover Account from Another IRA	Qualified
967	IRA - Rollover Account from Non-Qualifying Plan Split Funds	Qualified
968	IRA - Rollover from Another IRA - Split Funds	Qualified
985	401(k) Employer Contribution	Qualified
986	401(k) Employee Contribution	Qualified
987	401(k) Rollover	Qualified
996	401(k) Voluntary Deferred Compensation	Qualified
997	401(k) Employer Deferred Compensation	Qualified

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