

Creating a Feature Transaction

Procedures to create a feature transaction:

These procedures show how to create feature transactions. If you are a WIN client, it is very important to always follow the WIN Best Practices Guide. Refer to that document if you have any questions.

Creating a Feature Transaction

1. Click on 'Features' from the left navigation path.

Select New Carrier	
Features	
Feature Transactions	
FreeLook Provisions	
Fund Managers	
Ownership	
Investment Options	
Distributors	
Distribution Agreement	
Table Definitions	
Table Values	
Commission Rates & Schedules	
Output PPfA	
Output DPfA	

2. In the Available Feature Transaction section, click on [Add].

	Carrier Facing Description (3)	Feature Transaction Description	Modified On	
[Del] [Clone]	Fund Transfer	Fund Transfer, Transfer	11/23/2010 2:05:28 PM	[View]
[Del] [Clone]	New Business Submission for a Policy	New Business Submission, Initial Premium	11/23/2010 2:05:28 PM	[View]
[Del] [Clone]	Payment Transaction	Payment Transaction, Subsequent Premiums	11/14/2007 12:24:48 PM	[View]

- 1. Add the Feature Transaction that is appropriate for the feature.
 - For Features available for new sales, add New Business.
 - For those available for additional premium transactions, add Payment Transaction.
 - Finally, for those available during the Fund Transfer process, add Fund Transfer



Once the appropriate Feature Transaction is decided on, complete the following steps:

- 2. Enter the Carrier Facing Description
- 3. Enter the Description
- 4. Choose the Transaction Type:
 - New Business Submission (103)
 - Payment Transaction (508)
 - Fund Transfer (102)
- 5. Choose the Arrangement Type:
 - Initial Premium (19)
 - Subsequent Premiums (39)
 - Transfer (1)
- 6. Associate Products by clicking on the list of products provided in the Policy Product box.
- 7. Available Feature Information choose the features that are to be available under that particular feature transaction.

Carrier Facing Descri	iption					*		
Description								
Transaction Type							*	
Transaction Sub Typ	be							
Arrangement Type						~		
Transaction Available	e Date					(yyyy-mm-dd)		
Transaction End Dat	e					(vvvv-mm-dd)		
Issue Effective Date						(vvvv-mm-dd)		
Issue Expiration Date	<u> </u>					(\\\\\\-mm-dd)		
Policy Products				Show Selected	Select All + Select None -	(0)		
					X - VERTEX Equity Indexe	ed Annuity		
				VTXVA2.	20 - VERTEX Fixed Deferr	ed Annuity		
				VTXSPIA	- VERTEX Fixed Immedia	te Annuity		
				VTXVA -	VERTEX Variable Deferre	d Annuity V2	-	
Available Feature Inf	formation			VTXVA -	VERTEX Variable Deferre	d Annuity V2		
Available Feature Inf	formation Feature	Product I	info Descrip	tion	VERTEX Variable Deferre	d Annuity V2 <u>Modified</u>	▼ <u>On</u>	
Available Feature Inf	formation <mark>Feature</mark>	Product I	info Descrip	tion	VERTEX Variable Deferred	d Annuity V2 <u>Modified (</u>	On [Ad	<u>dd]</u>
Available Feature Inf	formation <mark>Feature</mark>	<u>Product I</u>	info Descript	Lion Show Selected	VERTEX Variable Deferrer Select All + Select None -	d Annuity V2 <u>Modified</u> (0)	On [Ac	<u>dd]</u>
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Available Feature In Policy Status List of Jurisdiction Aş	formation Feature	Product I	info Descrip	VTXVA - tion Show Selected Active (ii Active 7 Active 7 Active 7 Active 7 Active 7 Active 7 Annuitize	VERTEX Variable Deferred Select All + Select None - nforce) (1) Preliminary Term (48) der Terminated - Policy C d (36)	d Annuity V2 <u>Modified</u> (0) Converted to NFO (non forfe	eiture	<u>dd]</u>
Available Feature In Policy Status List of Jurisdiction Af	formation Feature pprovals Name	Product I	nfo Descrip Sale Exp	VTXVA - tion Show Selected Active (ii Active A: Active	VERTEX Variable Deferred <u>Select All + Select None -</u> nforce) (1) Preliminary Term (48) der Terminated - Policy C d (36) <u>Infrc Excl Con</u>	d Annuity V2 <u>Modified (</u> (0) Converted to NFO (non forfe <u>No New Money</u>	CON [As eiture V Modified Or	<u>dd]</u>
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7a. Click [Add] in 'Available Feature Information' section:

Steps on how to associate a feature(s) that is available to a 'Feature Transaction'.

- a. In 'Available Features for This Transaction', select the appropriate feature from the drop down list provided
- b. Available Feature Options for This Transaction
- c. Sale Effective Date, if applicable.
- d. Sale Expiration Date, if applicable.
- e. Transmissible Indicator, if applicable.
- f. Action Types Allowed, if applicable.

 Field Definitions 	
Available Features for This Transaction	▼
Available Feature Options for This Transaction	
Sale Effective Date	(yyyy-mm-dd)
Sale Expiration Date	(yyyy-mm-dd)
Transmissible Indicator	×
Action Types Allowed	Show Selected Select All + Select None - (0)
	Change Amount (6)
	Change Any (2)
	Change Destination Fund (8)
	Change End Date (4)
	Change Mode (5)
Field Definitions	
<u>Cancel</u>	Save & Return

- g. Click <u>Save & Return</u>
- 8. Policy Status click on appropriate box, if applicable.
- 9. Associate the Jurisdiction(s) available to the Feature Transaction:
- 9a. Click [Add] in the 'List of Jurisdiction Approvals' section:

List of Junsoiction Approvais								
		Name	Sale Eff	Sale Exp	Infrc Excl Cal	Infrc Excl Con	No New Money	Modified On
								[Add]
0	Field Definitions							

Steps on how to associate a jurisdiction(s) on a 'Feature Transaction'.

- a. Select the state in the 'Jurisdiction' drop down list.
- b. New Business Calendar Effective Date, if applicable.
- c. New Business Calendar Expiration Date, if applicable.
- d. In-Force Calendar Expiration Date, if applicable.
- e. In-Force Contract Expiration Date, if applicable
- f. No New Money Date, if applicable.
- g. Contract Issue State can be Different? if applicable.
- h. Click <u>Save & Return</u>



urisdiction Approval					
Jurisdiction	*				
New Business Calendar Effective Date	(yyyy-mm-dd)				
New Business Calendar Expiration Date	(yyyy-mm-dd)				
In-Force Calendar Expiration Date	(yyyy-mm-dd)				
In-Force Contract Expiration Date	(yyyy-mm-dd)				
No New Money Date	(yyyy-mm-dd)				
Filed Form Number					
Contract Issue State can be Different?					
Field Definitions					
Cancel	Save & Return				