

March 05, 2012 VTXml Release

1) Add Version to Distributors.

We have added Version to the Distributor page. Carriers need the ability to associate a Distributor to multiple Versions in order to migrate from one platform version to another (e.g. ANW to AN3).

Prior to this release, a Distributor was only associated to a single Intended Receiver. Carriers could not specify a Version nor could they associate to multiple Intended Receivers. Typically Merrill Lynch and a handful of Pershing firms had to be duplicated to allow for an Ebix Version and an Aplifi Version.

We have combined the Intended Receiver and Version to solve for both of these issues.

VTXml took the value which was in the Intended Receiver dropdown and moved it to the checkbox list using the following logic:

- If Ebix then set to Ebix 2.16 except when the OrgCode is Edward_Jones then set to Ebix 2.22.
- If Aplifi then set to Aplifi 2.20 except when the OrgCode is SMITHBARNEY then set to Aplifi 2.16.

Distributor page:

Version is now included in the Intended Receiver column along with all of the variations associated to that specific Distributor.

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Annuity Distributors

Annuity Distributors '10254 - test 12/31/2012' (* - required field)

Cancel | Save & Return

Field Definitions

List of Distributors

	Producer Name (11)	Org Code	DTCC	Intended Receiver and Version	Modified On	
[Del] [Clone]	AGEPTest Producer	ORG_CODE	4232	Aplifi 2.20 EBIX 2.16 EBIX 2.22	2/19/2009 4:37:11 PM	[View]
[Del] [Clone]	BancorpSouth Insurance Services	BXSINS_LANDMARK	6048	EBIX 2.16	8/11/2010 11:12:52 AM	[View]
[Del] [Clone]	DST_Vision	DST_VISION	6358	Aplifi 2.20	2/4/2010 9:52:28 AM	[View]
[Del] [Clone]	Ebix Test Distributor	EBIX_TEST		EBIX 2.16	4/20/2009 8:46:05 AM	[View]
[Del] [Clone]	Fintegra	PERSHING_7DJ	0443	EBIX 2.16	8/10/2010 8:09:06 AM	[View]
[Del] [Clone]	Infinex	PERSHING_6FK	0443	EBIX 2.16	8/10/2010 8:09:06 AM	[View]
[Del] [Clone]	Mary New Distributor	NEW_DIST	1111	EBIX 2.16	4/17/2009 6:14:51 PM	[View]
[Del] [Clone]	Primevest	PRIMEVEST_NSICC	0701	EBIX 2.16	8/10/2010 8:09:06 AM	[View]
[Del] [Clone]	Test Distributor	TEST_ORG_CODE	TEST1	EBIX 2.16	1/28/2009 11:31:23 AM	[View]
[Del] [Clone]	VERTEX Distributors Incorporated	VERTEX	0123	Aplifi 2.20 EBIX 2.16 EBIX 2.22	2/16/2012 9:15:05 AM	[View]
[Del] [Clone]	Vertex Life and Annuity Finetre	TEST_NSICC	0122	EBIX 2.16	8/10/2010 8:09:06 AM	[View]

[Add]

Field Definitions

Cancel | Save & Return

Distributor Detail page – Intended Receiver:

The Intended Receiver Dropdown has been replaced with a new checkbox list allowing multiple Intended Receiver and Version combinations. This list is generated based on the Version(s) specified on the Carrier/Writing Company page and the VERTEX maintained list of Intended Receivers/Versions. If a Carrier only allows Version 2.20 then they will only see Aplifi 2.20 as Aplifi is the only Intended Receiver associated with 2.20.

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Annuity Producer Info

WIN Changes
Multi WIN Changes
Current WIN Added
Different WIN Added
Current WIN Deleted
Multi WIN Deleted
Different WIN Deleted & Has Changes
Different WIN Deleted

Annuity Producer Information '10113 - test 12/31/2012, (Gary Parent)' (* - required field)
Producer Information: VERTEX Distributors Incorporated

Cancel Save & Return

Field Definitions

Distributor Information

Distributor Full Name: VERTEX Distributors Incorporated *

Tax Identification Number: .23456789 (9 digits)

Government ID Type Code: Taxpayer Identification Number (2)

Distributor Short Name: VERTEX Distributors Incorporated *

Distributor Code: VERTEX

Distributor DTCC Code: 0123

Org Code: VERTEX

CRD Number: 0456

Intended Receiver / Product Version: Show Selected Select All+ Select None- (3)

- Aplifi 2.16
- +Aplifi 2.20
- EBIX 2.16
- +EBIX 2.22
- EBIX 2.25

Policy Products: Show Selected Select All+ Select None- (2)

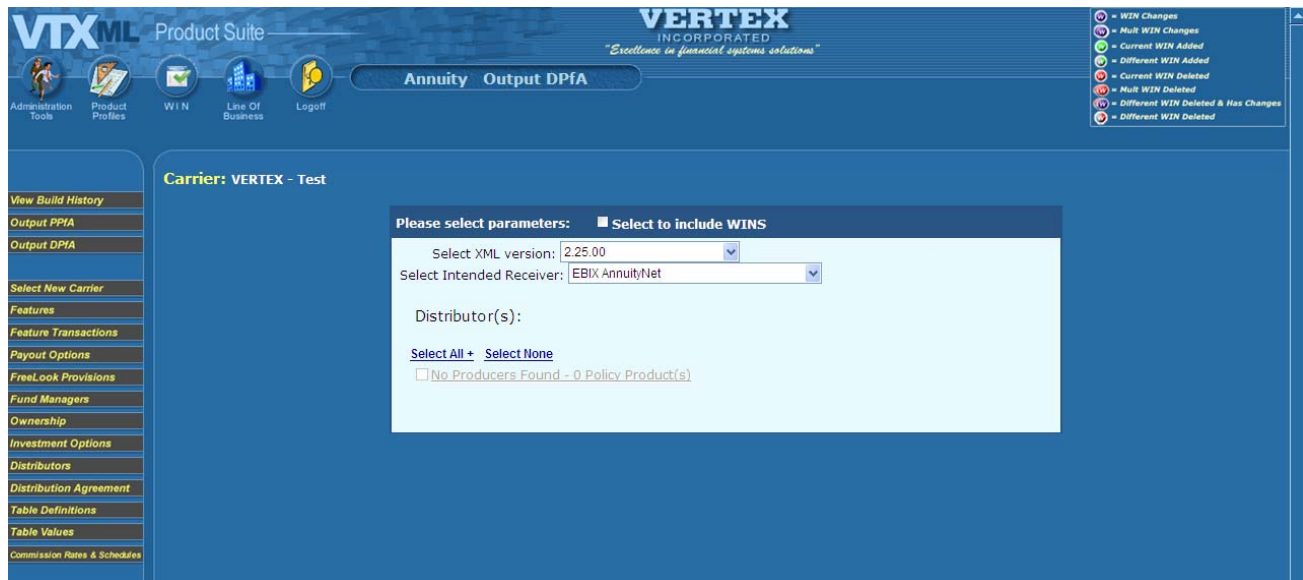
- PC123423 - 1GEP All VTXML fields usedCFDtest1231111
- 98765432112 - A-Share cfd

Internet 95%

DP output page:

The DP output page has also been updated and will only show Distributors who meet the user specified Version and Intended Receiver selections. For example, Ebix 2.22 will only return Distributors who have Ebix 2.22 specified on their Distributor page.

If there are no Distributors associated with a specific Version and/or Intended Receiver then a grayed out checkbox stating “No Producers Found – 0 Policy Product(s)” will be returned.



Carrier Impact:

Carriers will need to review their Distributors to ensure they are on the correct Intended Receiver and Version. This is especially important for the Carrier Test Distributors as prior to this change there was a single Test Distributor for all Versions. Now you will need to add the applicable Version(s) for the test Distributor.

Also Carriers can now consolidate the Merrill Lynch and Pershing firms to a single instance for use on both AnnuityNet and Affirm. Carriers may have to add additional CarrierAppointments if you have different OrgCodes for each platform.

Best Practices – adding or removing a version to a distributor will need to be cleaned up right away. The work flow should be as follows:

- Open a new WIN
- Add/remove the additional Version(s) to the Distributor
- Associate to additional Products if needed
- Add Carrier Appointments if needed
- Cleanup the WIN

There is no reason to manage these changes in a WIN.

2) Add ability to hide Products and Features.

VTXml has been enhanced to allow users to hide or show Products and Features. This functionality is being added to mainly support AMP as Carriers may have to add past Products and Features to VTXml which will rarely need to be updated. To lessen the clutter on the screen, a new dropdown has been added to the main Product Profiles screen and main Features page called Inactive Indicator. The options will be Yes or No and this will be a required field. We will default all Products and Features to No, meaning Production. (Note: Screenshots have not been updated to reflect the user group request to change the name from Archive to Inactive. The release will correctly include the name change.)

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Annuity Product Profile

Annuity Product Profile '10111 - test 12/31/2012, (Gary Parent)' (* - required field)
Policy Product: VERTEX Equity Indexed Annuity (VTXINDEX)

Cancel Save & Return Next >>

Field Definitions

Product Profile

Product Legal Name: VERTEX Equity Indexed Annuity *

Product Short/Common Name: VERTEX Equity Indexed *

Product Description: VERTEX Equity Indexed Annuity1 *

Carrier Facing Description: VERTEX Equity Indexed Annuity *

Archive Indicator: No *

Attachment: Yes/No *

Carrier Facing Description (1)		Modified On
[Del]	[Clone] afidf	11/16/2011 8:45:25 AM

[Add]

Marketing Name:

Product CUSIP: 8765432212*

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Annuity Features

Annuity Features '10111 - test 12/31/2012, (Gary Parent)' (* - required field)
Features: IP - Initial Premium Fixed Only

Cancel Save & Return

Field Definitions

Feature Selections

Feature Name: Initial Premium *

Feature Description: Initial Premium Fixed Only *

Carrier Facing Description: Initial Premium Fixed Only *

Archive Indicator: No *

Policy Products:

- VTXINDEX - VERTEX Equity Indexed Annuity
- VTXVA2.20 - VERTEX Fixed Deferred Annuity
- VTXSPIA - VERTEX Fixed Immediate Annuity
- VTXVA1 - VERTEX Variable Deferred Annuity
- VTXVAV - VERTEX Variable Deferred Annuity

The Product page now has two additional checkboxes called Production (default) and Inactive. Production will show all Products which have the Inactive Indicator set to No. Inactive will show all Products which have the Inactive Indicator set to Yes. If both checkboxes are checked, ALL Products will be displayed. If neither checkbox is checked then no Products will be displayed.

Note that if a Product has had the Inactive Indicator set to yes, the Carrier Facing Description for the Product will be appended with **[**Inactive**]** to make it easily identifiable. This will not affect output and will only be included as a Comment in the output. Users are allowed to change the Carrier Facing Description and remove the **[**Inactive**]** if they choose to. A new column is also included on the Product page to allow users to sort the product list by Inactive status.

Carrier: VERTEX Incorporated Life '10282 - KNW WIN 12/31/2012' **Production** **Archived**

		Carrier Facing Description (5)	Product Code	Product Type	Archived	Modified On	Count	
[Del]	[Clone]	VERTEX Equity Indexed Annuity	VTXINDEX	Indexed	No	2/22/2012 10:50:35 AM	2	[View]
[Del]	[Clone]	VERTEX Fixed Deferred Annuity	VTXVA2.20	Variable	No	2/22/2012 10:50:35 AM	2	[View]
[Del]	[Clone]	VERTEX Fixed Immediate Annuity	VTXSPIA	Fixed	No	2/22/2012 10:50:35 AM	5	[View]
[Del]	[Clone]	VERTEX Variable Deferred Annuity	VTXVA1	Variable	No	2/27/2012 11:38:48 AM	6	[View]
[Del]	[Clone]	VERTEX Variable Deferred Annuity NY [**Archived**]	VTXVANY	Variable	Yes	2/27/2012 1:34:55 PM	2	[View]

The Feature page has been updated to include a new popup at the bottom of the page called Inactive Feature Products. When the Inactive Indicator is set to Yes on the Feature, the Feature will be moved to this popup.

The screenshot shows the VTXML Product Suite interface. The main window is titled 'Annuity Feature Products' and contains a table of features. The table has columns for 'Features (9)', 'Sequence', 'Modified On', and 'Count'. Each row includes a 'Del' and 'Clone' link. Below the table is a section for 'Archived Feature Products' with a 'List of Archived Feature Products' and an 'Edit List of Archived Feature Products' link. The interface also includes a navigation menu on the left and a status bar at the bottom.

Features (9)	Sequence	Modified On	Count
[Del] [Clone] AA1 - Custom Asset Allocation Models	19	2/22/2012 10:50:35 AM	5
[Del] [Clone] AR - AR	22	2/22/2012 10:50:35 AM	2
[Del] [Clone] CDSC - Surrender Charge Schedule	2	2/22/2012 10:50:35 AM	3
[Del] [Clone] DBOReq - Death Benefit Options with Attachment Data	3	2/22/2012 10:50:35 AM	5
[Del] [Clone] IP - Initial Premium with AA, SDCA	1	2/22/2012 10:50:35 AM	2
[Del] [Clone] IP - Initial Premium with AA, SDCA BUG TEST	1	2/22/2012 10:50:35 AM	1
[Del] [Clone] SDCA - Special Dollar Cost Averaging	17	2/22/2012 10:50:35 AM	3
[Del] [Clone] SP - SubPay	100	2/22/2012 10:50:35 AM	3
[Del] [Clone] WITh - One Time Withdrawal	30	2/22/2012 10:50:35 AM	5

The Features in this new popup will work the same way they worked on the main Feature page. They can still be edited, deleted, cloned, and added to any Product. The Features will still show up in output if attached to a Product. This popup allows the user to de-clutter the main Feature page and manage the older Features without interfering with the New Business Features.

The Annuity Output PPfA page has been updated to include an Inactive checkbox. When checked, all Policy Products that have the Inactive Indicator set to Yes will be included in the Product(s) list for selection. If Inactive is unchecked, only the Products with the Inactive Indicator set to No will be included in the Product(s) list. If neither Production nor Inactive is selected, no Products will be displayed.

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WIN Changes
Multi WIN Changes
Current WIN Added
Different WIN Added
Current WIN Deleted
Multi WIN Deleted
Different WIN Deleted & Has Changes
Different WIN Deleted

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Annuity Output PPfA

Carrier: VERTEX Incorporated Life

Please select parameters: Select to include WINS Production Archived

Select a Writing Company: PLEASE SELECT WRITING COMPANY
 Select XML version: PLEASE SELECT VERSION
 Select Intended Receiver: PLEASE SELECT INTENDED RECEIVER

Product(s):

View Build History
 Output PPIA
 Output DPfA
 Select New Carrier
 Features
 Feature Transactions
 Payout Options
 FreeLook Provisions
 Fund Managers
 Ownership
 Investment Options
 Distributors
 Distribution Agreement
 Table Definitions
 Table Values
 Commission Rates & Schedules

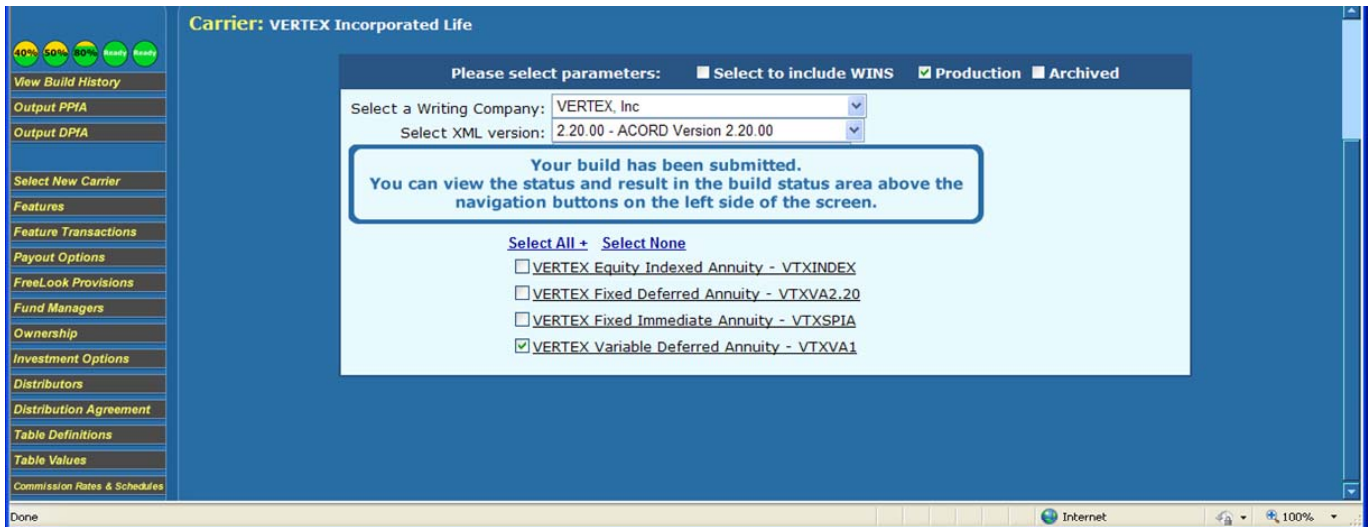
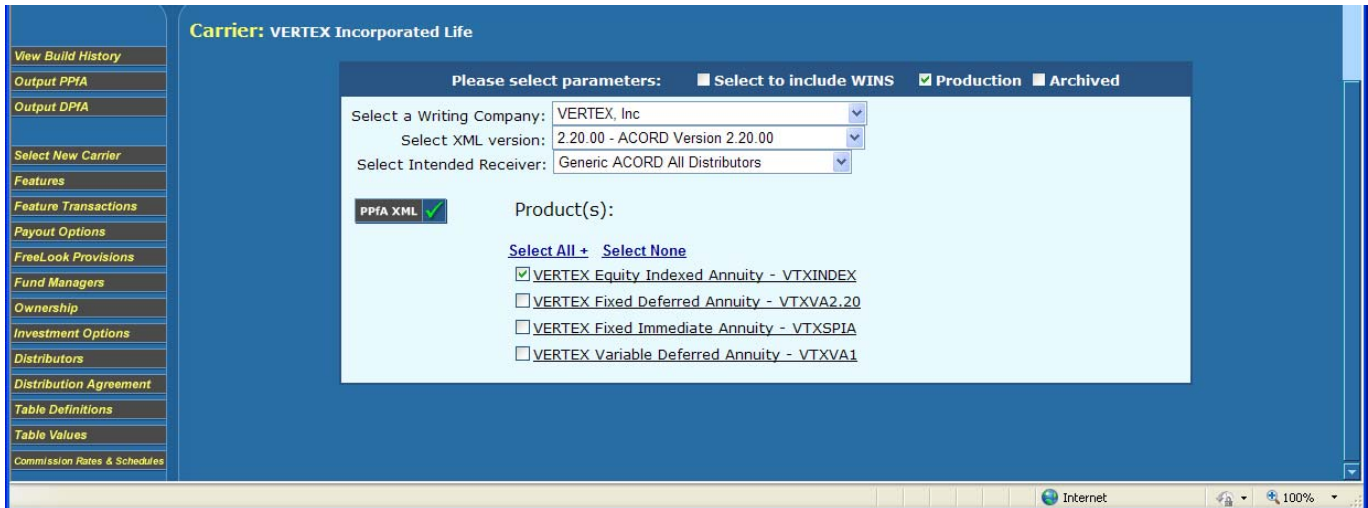
3) Field level display based on Carrier

VTXml now has the ability to hide specific fields by Carrier. Requests to hide fields by Carrier should be sent to vtxmlsupport@vertexincorporated.com.

This functionality was added to support Carriers who need proprietary fields included in their output for use internally to feed their Admin systems or other surrounds/systems. If Carriers have any questions or want more detail on setting up a project to add Carrier specific fields to VTXml please send an email to vtxmlsupport.

4) Floating PPfA/PPfL XML button on the Output PPfA/PPfL page

The PPfA/PPfL XML button used to submit build requests will now float up and down on the page. Regardless of where the user is on the page, this button will be displayed so that it will no longer be necessary to scroll all the way to the bottom in order to submit the request. Once the request has been submitted, a message displays confirming the build and this message will also float up and down on the Output page.



5) Life Error messages updated

Several error messages have been added for PPfL processing. They will display with other warnings and errors on the Life Output Detail screen.